



Docket No.: COS97087

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): B. Reilly BARRY et al.

Confirmation No.: 5454

Serial No.: 09/159,695

Art Unit: 2141

Filed: September 24, 1998

Examiner: B. Jaroenchonwanit

Title: Integrated System For Providing Communications Network Management  
Services And Interactive Generating Invoice Documents

#40  
T.D.  
12/30/03

INFORMATION DISCLOSURE STATEMENT

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Technology Center 2100

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

US 5,491,796, EP 0 747 841 and WO 97/18515 are submitted under 37 C.F.R. § 1.97(e)(1), but after the mailing date of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application. These items of information were cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

This Information Disclosure Statement is accompanied by the requisite fee of \$180.00.

Applicants further request consideration of the PTO-1449 Forms which was previously submitted on the following dates:

March 22, 1999 (2 pages)

April 9, 1999 (5 pages)

October 25, 2001

March 22, 2002 (2 pages)

April 19, 2002

August 7, 2002

September 13, 2002

December 3, 2002

February 4, 2003

May 23, 2003

07/30/2003 US97087 00000027 13249% 09155555

01 FEB 2003 180.00 EA


Applicants request consideration of the documents cited therein and that the Examiner initial each document and return the initialed copies to Applicants. A copy of the PTO-1449 Form, and corresponding postcard, is attached herewith for the Examiner's convenience.

Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 13-2491, and please credit any excess fees to such deposit account.

Respectfully submitted,



Eden U.I. Stright  
Registration No. 51,205

Date: July 25, 2003

WorldCom, Inc.  
1133 19<sup>th</sup> Street, NW  
Washington, DC 20036  
Phone: 202 736-6008  
Fax: 202-736-6382



PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/159,695	
	Filing Date	September 24, 1998	
	First Named Inventor	BARRY et al.	
	Art Unit	2141	
	Examiner Name	B. Jaroenchonwanit	
Total Number of Pages in This Submission	6+refs	Attorney Docket Number	COS97087

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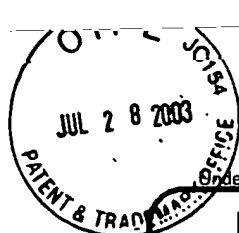
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ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	See Remarks Below
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Form PTO-1449 with 3 References; Previously submitted PTO-1449 Forms filed March 22, 1999 (2 pages), April 9, 1999 (5 pages), October 25, 2001, March 22, 2002 (2 pages), April 19, 2002, August 7, 2002, September 13, 2002, December 3, 2002, February 4, 2003 and May 23, 2003; Copy of each corresponding Return Postcard.	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Eden U.I. Stright, Reg. No. 51,205	
Signature		
Date	July 25, 2003	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Tracy Goodwin	
Signature		Date
		July 25, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 180.00

## Complete if Known

Application Number 09/159,695

Filing Date September 24, 1998

First Named Inventor Barry et al.

Examiner Name B. Jaroenchonwanit

Art Unit 2141

Attorney Docket No. COS97087

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 13-2491  
Deposit Account Name WorldCom, Inc.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 180.00

## SUBMITTED BY

Name (Print/Type)	Eden U. Strait	Registration No. (Attorney/Agent)	51,205	Telephone	202.736.6008
Signature		Date	July 25, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

[illegible]



09/159,695

**FILING DATE**  
September 24, 1998

GROUP  
2141

LIST OF DOCUMENTS CITED BY APPLICANT  
(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

Technology Center 2100

FOREIGN PATENT DOCUMENTS

YES	NO
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**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

DATE CONSIDERED

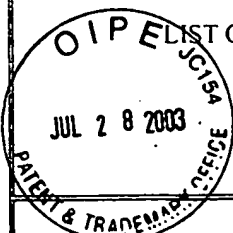
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.









FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
COS97087SERIAL NO.  
09'159,695LIST OF DOCUMENTS CITED BY APPLICANT  
(Use several sheets if necessary)

APPLICANT(S): BARRY et al.

FILING DATE  
September 24, 1998GROUP  
2152

## U.S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	5,136,707	08/1992	Block et al.			
	5,223,699	06/1993	Flynn et al.			
	5,262,760	11/1993	Iwamura et al.			
	5,361,259	11/1994	Hunt et al.			
	5,539,734	07/1996	Burwell et al.			
	5,555,290	09/1996	McLeod et al.			
	5,659,601	08/1997	Cheslog			
	5,710,882	01/1998	Svennevik et al.			
	5,757,900	05/1998	Nagel et al.			
	5,832,519	11/1998	Bowen et al.			
	5,867,495	02/1999	Elliott et al.			
	5,875,236	02/1999	Jankowitz et al.			
	5,883,948	03/1999	Dunn			
	5,953,389	09/1999	Pruett et al.			
	5,956,714	09/1999	Condon			
	5,991,746	11/1999	Wang			
	5,999,965	12/1999	Kelly			

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## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Quadri et al., Hewlett-Packard and Cisco Systems, "Internet Usage Platform" White Paper
	"HP and Cisco Deliver Internet Usage Platform and Billing and Analysis Solutions, New Platform and Solutions Allow ISPs and Carriers to Offer Value-added Services". Copyright 1998 Cisco Systems, Inc. <a href="http://www.cisco.com/warp/public/146/pressroom/1998/apr98/25.html">http://www.cisco.com/warp/public/146/pressroom/1998/apr98/25.html</a>
	HP Smart Internet, "Transform User Data Into Revenue". Copyright Hewlett-Packard Company. 1999
	HP Smart Internet Usage Analysis Solution. "Transform User Data Into Competitive Advantage", Copyright Hewlett-Packard Company. 1999
	HP/Cisco, Internet Usage Platform. "Transforming Internet Services Into Revenue" ©Hewlett-Packard Co. 1998
	"Release Note for Netflow FlowCollector Release 2.0." © 07 1998 and "Release Notes for Netflow FlowAnalyzer Release 1.0" © 09/1997
	HP Invent. "Capturing the Usage Billing Advantage". Copyright 1994-2001. Hewlett Packard <a href="http://www.hp.com/communications/usage/infoclibrary/whitepapers/dsforum_print.html">http://www.hp.com/communications/usage/infoclibrary/whitepapers/dsforum_print.html</a>

EXAMINER

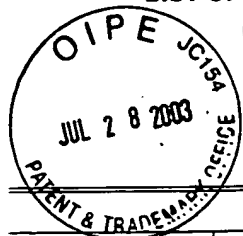
DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



## LIST OF DOCUMENTS CITED BY APPLICANT

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APPLICANT(S): BARRY et al.

FILING DATE  
September 24, 1998GROUP  
2152

## U.S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	5,650,994	07/1997	Daley			
	5,848,399	12/1998	Burke			
	5,881,237	03/1999	Schwaller et al.			
	5,933,142	08/1999	LaStrange et al.			
	5,937,165	08/1999	Schwaller et al.			
	5,938,729	08/1999	Cote et al.			
	5,949,976	09/1999	Chappelle			
	5,960,411	09/1999	Hartman et al.			
	6,003,079	12/1999	Friedrich et al.			
	6,006,265	12/1999	Rangan et al.			
	6,018,768	01/2000	Ullman et al.			
	6,021,409	02/2000	Burrows			
	6,029,182	02/2000	Nehab et al.			
	6,044,362	03/2000	Neely			
	6,073,122	06/2000	Wool			
	6,108,700	08/2000	Maccobee et al.			
	6,112,242	08/2000	Jois et al.			
	6,161,126	12/2000	Wies et al.	709	203	
	2001/0001014	05/2001	Akins, III et al.			

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

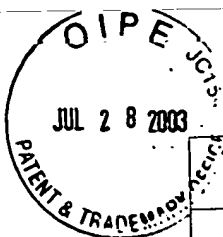
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Meteorology; Databases, "Inforonics offers controlled access to Web Meteorology", Information Today, April 97, Vol. 14 Issue 4, p53, 2p. This article reports that Inforonics has developed a controlled access gateway to MGA (Meteorological and Geostrophysica)
	Rosen, Michele, "BPCS steps into new millennium", Midrange Systems; Spring House; May 10, 1996. This article informs about the new release of BPCS Client/Server Software as the most extensive upgrade of the product since 1980s. It incorporates onject tech
	Inoue et al., "Secure Mobile IP Using Security Primitives", IEEE 1997

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DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449	ATTY DOCKET NO. COS-97-087	APPLICATION NO. 09/159,695
INFORMATION DISCLOSURE STATEMENT	APPLICANT Barry et al.	
	FILING DATE 9/24/98	GROUP 2756

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	5,649,182	7/15/97	Reitz			3/17/95
	AB1	5,845,267	12/1/98	Ronen			9/6/96
	AC1	5,852,812	12/22/98	Reeder			8/23/95
	AD1	5,815,665	9/29/98	Teper et al.			4/3/96
	AE1	5,793,964	8/11/98	Rogers et al.			6/7/95
	AF1	5,826,029	10/20/98	Gore, Jr. et al.			10/31/95
	AG1	5,805,803	9/8/98	Birrell et al.			5/13/97
	AH1	5,764,756	6/9/98	Onweller			6/11/96
	AI1	5,742,905	4/21/98	Pepe et al.			9/19/94
	AJ1	5,621,727	4/15/97	Vaudreuil			7/7/95
	AK1	5,666,481	9/9/97	Lewis			2/26/93

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL1	WO 97/16911	5/9/97	PCT			N/A
	AM1						Yes No
	AN1						Yes No
	AO1						Yes No
	AP1						Yes No

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OTHER (including Author, Title, Date, Pertinent Pages, etc.)			
	AR	1	Computer Networks, Andrew S. Tanenbaum, pages 410-412.
	AS	1	"XIIIR6.3 (Broadway) Overview", <a href="http://www.x.org/broadway.htm">http://www.x.org/broadway.htm</a> .
	AT	1	"Stac Unveils Windows NT 4.0 and Web Browser Support in New ReachOut 7" <a href="http://www.stac.com/news/pressrel/pr_ro7_unveil.html">http://www.stac.com/news/pressrel/pr_ro7_unveil.html</a> .
EXAMINER			DATE CONSIDERED
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FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. COS97087		SERIAL NO. 09 159.695	
<div style="text-align: center;"> <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  <i>(Use several sheets if necessary.)</i> </div> <div style="position: absolute; left: -100px; top: 50px; transform: rotate(-90deg); font-size: small;"> OIP JUL 28 2003 PATENT &amp; TRADEMARK OFFICE </div>				APPLICANT(S): BARRY et al.			
				FILING DATE September 24, 1998		GROUP 2152	
<b>U.S. PATENT DOCUMENTS</b>							
• EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
		5,136,707	08/1992	Block et al.			
		5,223,699	06/1993	Flynn et al.			
		5,262,760	11/1993	Iwamura et al.			
		5,361,259	11/1994	Hunt et al.			
		5,539,734	07/1996	Burwell et al.			
		5,555,290	09/1996	McLeod et al.			
		5,659,601	08/1997	Cheslog			
		5,710,882	01/1998	Svennevik et al.			
		5,757,900	05/1998	Nagel et al.			
		5,832,519	11/1998	Bowen et al.			
		5,867,495	02/1999	Elliott et al.			
		5,875,236	02/1999	Jankowitz et al.			
		5,883,948	03/1999	Dunn			
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		5,956,714	09/1999	Condon			
		5,991,746	11/1999	Wang			
		5,999,965	12/1999	Kelly			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
		Quadri et al., Hewlett-Packard and Cisco Systems, "Internet Usage Platform" White Paper					
		"HP and Cisco Deliver Internet Usage Platform and Billing and Analysis Solutions, New Platform and Solutions Allow ISPs and Carriers to Offer Value-added Services". Copyright 1998 Cisco Systems, Inc. <a href="http://www.cisco.com/warp/public/146/pressroom/1998/apr98/25.html">http://www.cisco.com/warp/public/146/pressroom/1998/apr98/25.html</a>					
		HP Smart Internet, "Transform User Data Into Revenue", Copyright Hewlett-Packard Company, 1999					
		HP Smart Internet Usage Analysis Solution, "Transform User Data Into Competitive Advantage", Copyright Hewlett-Packard Company, 1999					
		HP/Cisco, Internet Usage Platform, "Transforming Internet Services Into Revenue" ©Hewlett-Packard Co. 1998					
		"Release Note for Netflow FlowCollector Release 2.0." © 07 1998 and "Release Notes for Netflow FlowAnalyzer Release 1.0" © 09/1997					
		HP Invent, "Capturing the Usage Billing Advantage". Copyright 1994-2001. Hewlett Packard <a href="http://www.hp.com/communications/usage/infoclibrary/whitepapers/dsforum_print.htm">http://www.hp.com/communications/usage/infoclibrary/whitepapers/dsforum_print.htm</a>					
EXAMINER					DATE CONSIDERED		
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							

